

Research Article

# Fecal storage condition induces variations of microbial composition and differential interpretation of metagenomic analysis

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## Abstract

Advances in metagenomics have facilitated population studies of associations between microbial compositions and host properties, but strategies to minimize biases in these population analyses are needed. However, the effects of storage conditions, including freezing and preservation buffer, on microbial populations in fecal samples have not been studied sufficiently. In this study, we investigated metagenomic differences between fecal samples stored in different conditions. We collected 46 fecal samples from patients with lung cancer. DNA quality and microbial composition within different storage method were compared throughout 16S rRNA sequencing and post analysis. DNA quality and sequencing results for two storage conditions (freezing and preservation in buffer) did not differ significantly, whereas microbial information was better preserved in buffer than by freezing. In a metagenomic analysis, we observed that the microbial compositional distance was small within the same storage condition. Taxonomic annotation revealed that many microbes differed in abundance between frozen and buffer-preserved feces. In particular, the abundances of Firmicutes and Bacteroidetes varied depending on storage conditions. Microbes belonging to these phyla differed, resulting in biases in population metagenomic analysis. We suggest that a unified storage method is requisite for accurate population metagenomic studies.

## Introduction

Associations of gut microbes with human health are gradually becoming clear. There is compelling evidence for effects of gut microbes on various biological processes, including metabolism, brain function, digestion, nutrient storage, and immunity [1]. To analyze microbial function efficiently and accurately, next-generation sequencing tools for metagenomic analyses have been developed [2]. Population metagenomic studies are important in terms of validation and minimizing selecting bias. Many population metagenomic studies have revealed associations between the microbial composition and host properties in the context of medicines, location, metabolic features, and disease [3-5]. However, unexpected bias can occur when ununified tools, experimental kits, and storage conditions are used.

Fecal storage is an important step for credible and accurate metagenomic analyses because microbes and their DNA/RNA are sensitive to the external environment, including oxygen and temperature [6-10]. Sequencing of the 16S rRNA region is a common method for investigating the gut microbial composition by mapping to reference sequences [3,11]. Unstable storage of fecal samples can damage microbial information (DNA and RNA) and induce errors in sequence data and metagenomic analyses [12]. To prevent these alterations and to ensure the accuracy of metagenomic analyses, feces should be preserved intact [13,14]. Storage at -80 °C or in liquid nitrogen is a common method for preventing degradation and changes in the microbial composition [15,16]. Fecal samples are often obtained from many sites, and researchers may have issues with storage at extremely

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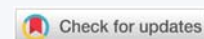
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low temperatures. Various tools, such as DNA/RNA shields, have been developed to maintain the original condition of feces [15,17,18]. However, the effects of different fecal storage conditions on the same microbial population are unclear.

In this study, we investigated differences in metagenomic results for feces obtained from the same population stored under different conditions. We compared fecal samples stored at -20 °C followed by -80 °C and those stored in preservation buffer (DNA/RNA Shield) at 4 °C. We analyzed the quality of extracted DNA, sequencing results, diversity, and taxonomic distributions to determine the effects of fecal storage conditions. We found that different storage methods gave rise to different microbial compositions, despite obtaining feces from the same population. Thus, we suggest that researchers use a single storage method for consistent and accurate results of metagenomic analyses.

## Methods

### Fecal sample collection, storage conditions, and DNA extraction

Fresh feces were collected from 46 patients with lung cancer. Twenty-two fecal samples were immediately stored at -20 °C and transported to a deep freezer at -80 °C until use. Twenty-four fecal samples were immediately stored in preservation buffer (Zymo, Irvine, CA, USA) at 4 °C for 1 year until use. DNA extraction was performed using 500 mg of feces per sample using the FastDNA® SPIN Kit for Soil (MP Biomedicals, Solon, CA, USA) & PowerFecal DNA Isolation Kit (MO BIO, Hilden, Germany) according to the manufacturer's recommendations. DNA purity and quantity were estimated using a NanoDrop One Spectrophotometer (Thermo Scientific, Waltham, MA, USA).

### Metagenomic 16S rRNA sequencing data analysis

The quality of raw sequence reads was analyzed using FastQC [19]. Illumina adapter sequences of paired-end reads were removed using cutadapt version 2.2 [20]. Then, trimmed sequences were processed using QIIME2 version 2019.4 [21]. Briefly, reads were assigned to each sample according to the unique index; pairs of reads from the original DNA fragments were merged using an import tool in QIIME2. To remove chimeras from the fastq files, the consensus method implemented in DADA2 was used. Alpha diversity was evaluated using the q2-diversity plugin in QIIME2 by rarefaction. Statistical analyses of alpha diversity indices were performed using non-parametric tests. Taxonomic annotation was performed by mapping to the training reference set with primers (forward, 5'-CCTACGGGNGGCWGCAG-3'; reverse, 5'-GACTACHVGGGTATCTAATCC-3') for extracting the V3-V4 region using GreenGenes version 13\_8 [21]. Linear discriminant effect size analysis (LEfSe) [22] was performed to identify differential features at the species level between groups based on linear discriminant analysis (LDA) scores.

Statistical plots and calculations were generated using R and R studio [23] with the ggplot2 package [24].

## Results

To demonstrate the association between fecal storage conditions and population structure inferred from metagenomic analyses, 46 fecal samples were collected from one population of patients with lung cancer (Table S1). 22 fecal samples were stored at -20 °C for more than 12 months and then transported to -80 °C (frozen feces). The other 24 fecal samples were stored in preservation buffer (buffer-preserved feces). These 46 fecal samples were then lysed for DNA extraction and sequenced for analysis (Figure 1). Here, we analyzed the frozen feces for long duration at -20 °C and buffer-preserved feces mainly.

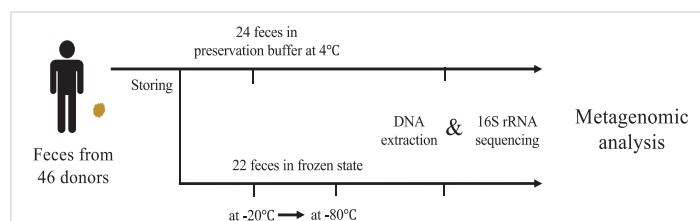
### Quality comparison of frozen and buffer-preserved fecal samples at the DNA level

We compared the amount of extracted DNA from each stored fecal sample to determine the effects of different storage conditions. The concentration of DNA from buffer-preserved feces was significantly higher than that from frozen feces ( $p < 0.005$ , Figure 2A). We also confirm that there was no bias caused by impurities affecting the measurement of concentrations (Figure 2B). Despite different concentrations of extracted DNA, the V3-V4 region was successfully amplified by polymerase chain reaction (PCR). To prevent bias from the sequencing procedure, we obtained sequence read counts

**Supplementary Table 1**

Characteristics	Type	Frozen	Shield	<i>p</i> - value
Sex	Male	16	20	0.484
	Female	6	4	
Age	Mean (SD)	67.32 (10.61)	65.83 (12.22)	0.662
Histology	Adenocarcinoma	17	17	0.497
	Squamous	4	7	
	Pleomorphic	1	0	
Smoking	Current	8	9	0.406
	EX	8	12	
	Non	6	3	
Drug-target	PD-1	17	20	0.718
	PD-L1	5	4	

Summary of clinical characteristics of lung cancer patients whose feces were split into frozen and buffer storages. Significance of categorical characteristics was calculated by Fisher's exact test. Significance of age was calculated by Wilcoxon-Mann-Whitney U test. EX is who used to smoking, not for nowadays. SD: Standard Deviation, PD-1: Programmed death-1, PD-L1: Programmed Death-Ligand 1.

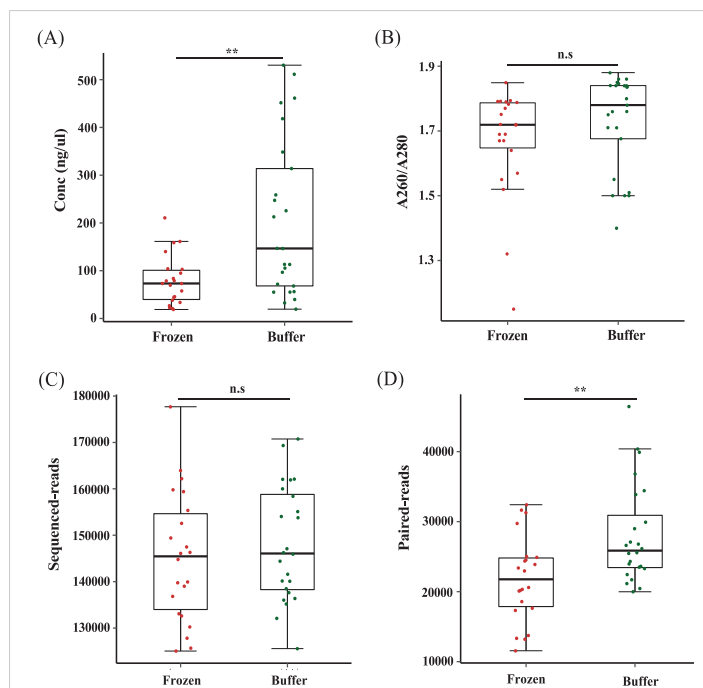


**Figure 1:** Schematic diagram of the study for design comparisons among fecal storage methods. In total, 46 fecal samples were collected from patients with lung cancer. The 24 fecal samples were stored in preservation buffer at 4 °C, 22 fecal samples were stored at -20 °C longer than 12 months and transported to -80 °C. All feces were used to obtain microbial DNA and then sequenced for metagenomic analyses.

and verified that there was no difference in counts between frozen and buffer-preserved fecal samples (Figure 2C). We trimmed adaptors, joined reads, and excluded chimeras and low quality-reads. The paired read count was higher for buffer-preserved feces than for frozen feces, indicating that microbial DNA was intact when stored in preservation buffer ( $p < 0.05$ , Figure 2D).

### Microbial diversity based on microbial composition distances of feces stored under different conditions

To investigate whether microbial states differed depending on storage conditions, we compared microbial diversity in frozen and buffer-preserved feces. We evaluated alpha diversity and observed that individual variation was greater than variation between frozen and buffer-preserved feces. In particular, species counts were significantly higher in buffer-preserved feces than in frozen feces, but other alpha diversity indexes did not exhibit significant differences (Figure 3 A-C). However, beta diversity showed scattered individuals dependent on the storage method (Figure 3 D,  $p = 0.001$ ). We found that the phylogenetic distance (sequence distance) weighted by microbial abundance also split individuals into two groups (Figure 3D). Here, we discovered that frozen feces were coordinated with Firmicutes mainly when Bacteroidetes coordinated buffer-preserved feces. These microbial characteristic variations might be also affected by durations at  $-20^{\circ}\text{C}$  before transferred at  $-80^{\circ}\text{C}$  (Figure 3D). These results suggested that the different storage conditions induced variation in the microbial composition of a population.



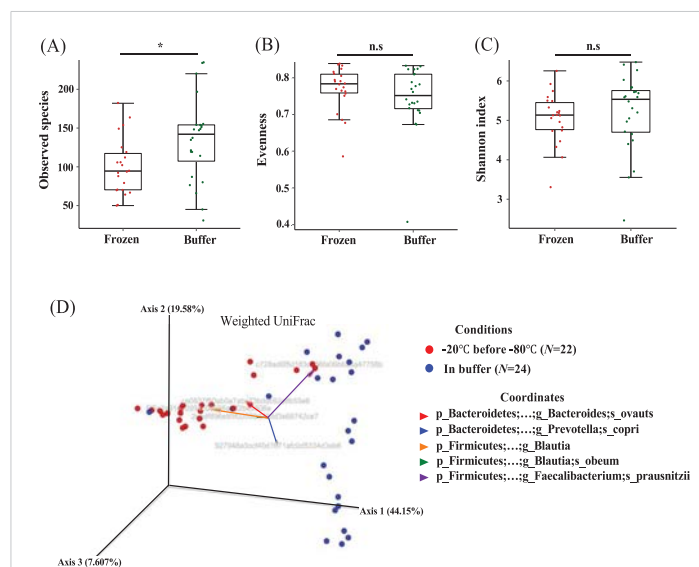
**Figure 2:** Numbers of sequence reads and paired-end reads from feces stored in different conditions. (a) Concentration (Conc) of DNA from fecal samples stored frozen and in preservation buffer ( $n = 22$  and  $n = 24$ , respectively). (b) Ratio of absorbance at 260 to 280 as an indicated of purity. (c) Number of sequence reads for the V3–V4 region obtained by Illumina 16S rRNA sequencing. (d) Number of paired-end reads from feces. n.s.: non-significant; \*\* $p < 0.005$ . Significance was evaluated by the Wilcoxon-Mann-Whitney U test. Whiskers error bars represent the distribution of relative abundance.

### Metagenomic analysis of the microbial composition of feces under different storage conditions

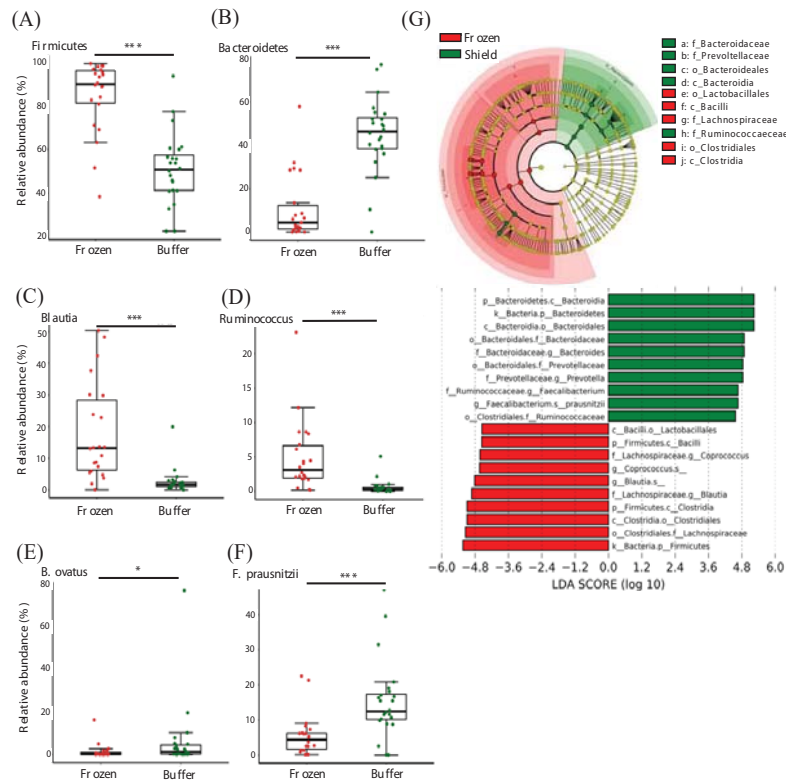
Next, we performed taxonomic annotations of the microbes to detect differences between frozen and buffer-preserved fecal samples. We found that 6 phyla, 20 genera, and 15 species differed significantly between storage conditions (Table S2). The top 6 taxa at each level by count number are summarized (Figure 4). At the phylum level, Firmicutes was more abundant in frozen feces and Bacteroidetes was more abundant in buffer-preserved feces (Figure 4A,B, Figure S1). Interestingly, the abundance of Bacteroidetes was very low in frozen feces, whereas Firmicutes was abundant in buffer-preserved feces. Consequently, most genera (Figure 4C,D) and species (Figure 4E,F) included in these phyla differed significantly between frozen and buffer-preserved feces (Figure 4). Most results for species abundance were consistent with those for their corresponding phyla and genera. These results suggest that different fecal storage methods induce variation in the microbial composition of feces.

### Discussion

In this study, we collected fecal samples from donors with lung cancer to investigate the effects of different storage conditions of the results of population metagenomic analyses. Here, we used a lung cancer cohort because it was readily available to us and lung cancer is not thought to be correlated with dramatical microbial changes unlike colon cancer, although recent studies have emphasized the association of microbiome with cancer [25-28]. Moreover, the previous studies of healthy human cohorts revealed that each person has a distinct gut microbiome signatures, thus microbiome



**Figure 3:** Alpha diversity and beta diversity of individual feces stored under different conditions. (a) Number of observed species, (b) evenness, and (c) Shannon index for frozen and buffer-preserved feces. (d) Weighted UniFrac beta diversity. Grey sentences are OTUs (Operational Taxonomic Units). n.s.: non-significant; \* $p < 0.05$ . Significance was evaluated by the Wilcoxon-Mann-Whitney U test. Whiskers error bars represent the distribution of alpha diversity values. The significance of differences in beta diversity was calculated by PERMANOVA with 999 permutations.

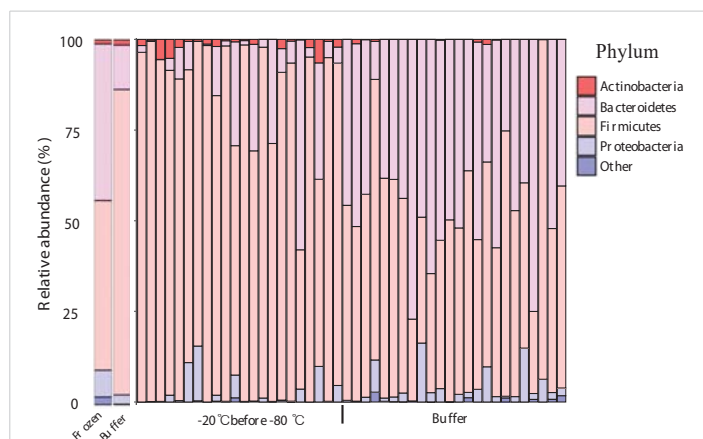


**Figure 4:** Differences in microbial abundances between frozen and buffer-preserved feces. Relative abundance of various microbes with different abundances (a and b) at the phylum level, (c and d), genus level, and (e and f) species level. (g) A taxonomic cladogram and a plot of linear discriminant analysis (LDA) scores from linear discriminant analysis effect size (LEfSe) illustrating the microbes that differ significantly between frozen and buffer-preserved feces ( $|LDA\ score| > 4.5$ ). Each panel is ordered by the maximum relative abundance (a–f). \* $p < 0.05$ ; \*\*\* $p < 0.0005$ . Significance was evaluated by the Wilcoxon-Mann-Whitney U test. Whiskers error bars represent the distribution of observed relative abundance.

Supplementary Table 2

Phylum	p - value	Frozen( ) Buffer	Genus	p-value	Frozen( ) Buffer	Species	p - value	Frozen( ) Buffer
Actinobacteria	3.19E-06	>	<i>Bifidobacterium</i>	3.55E-06	>	<i>B. adolescentis</i>	0.002435032	>
						<i>B. longum</i>	0.005385121	>
Bacteroidetes	1.22E-07	<	<i>Bacteroides</i>	0.000484512	<	<i>B. ovatus</i>	0.020191891	<
						<i>B. plebeius</i>	0.04109329	<
			<i>Parabacteroides</i>	0.003123641	<	<i>P. distasonis</i>	0.000635814	<
			<i>Prevotella</i>	0.013097259	<	<i>P. copri</i>	0.057820223	<
Firmicutes	1.04E-07	>	<i>Blautia</i>	1.04E-07	>	<i>B. obeum</i>	0.001660642	>
			<i>Lachnospira</i>	1.18E-05	<	-	-	-
			<i>Ruminococcus</i>	6.15E-06	>	<i>R. gnavus</i>	9.67E-06	>
			<i>Dorea</i>	2.14E-06	>	<i>R. bromii</i>	0.026121427	>
			<i>Faecalibacterium</i>	0.000528379	<	<i>D. formicigenerans</i>	0.015119466	>
			<i>Oscillospira</i>	0.001195305	<	<i>F. prausnitzii</i>	0.000528379	<
			<i>SMB53</i>	0.000339951	>	-	-	-
			<i>Streptococcus</i>	0.000458297	>	-	-	-
			<i>Coprococcus</i>	0.000283426	>	<i>C. catus</i>	0.017942251	>
			<i>Lactobacillus</i>	0.005014775	>	<i>L. ruminis</i>	0.01664354	>
			<i>Bacillus</i>	0.011836243	>	-	-	-
			<i>Dialister</i>	0.042317988	>	-	-	-
			<i>Weissella</i>	0.047639457	>	-	-	-
Lentisphaerae	0.002763407	<	<i>Victivallis</i>	0.033440164	<	<i>V. vadensis</i>	0.033440164	<
Proteobacteria	0.032208327	<	<i>Bilophila</i>	0.035402977	<	-	-	-
			<i>Sutterella</i>	3.42E-07	<	-	-	-
Euryarchaeota	0.109019463	>	-	-	-	-	-	-

Microbial taxa with differences in abundance between frozen and buffer-preserved feces. Metagenomic annotation revealed microbes with differences in abundance at the phylum, genus, and species levels. Significance was evaluated by the Wilcoxon-Mann-Whitney U test. The > symbol indicates which storage condition had a larger mean relative abundance. Unclassified microbes are marked with the - sign.



**Supplementary Figure 1:** Relative abundance of microbes in fecal samples at the phylum level for different storage methods. Bar graph describing the relative abundance of two types of frozen and buffer-preserved feces in (Left) intra-sample and (Right) inter-sample comparisons.

of healthy human population shows relatively broader range of bacterial diversity [29-32]. Feces were stored frozen at  $-80^{\circ}\text{C}$  and in preservation buffer. We found that differences in fecal storage induced compositional differences of the gut microbial community and caused inter-population variation. In particular, we that differences in the abundance of the main phyla that Firmicutes and Bacteroidetes were major factor splitting the population into two microbial communities. Most genera and species with differences in abundance belonged to Firmicutes and Bacteroidetes, but other phyla also differed in abundance between frozen and buffer-preserved feces. Overall, our results suggest that credible and accurate population metagenomic analyses without bias require the use of a single storage method and highly controlled conditions.

Differences in DNA extraction kits, sequencing platforms, and analysis tools can cause further bias and variation in the results of metagenomic analyses. Accordingly, a consistent experimental method is essential [2,7,8,17]. For example, we sequenced the 16S rRNA region using the Illumina MiSeq platform targeting the V3-V4 region and mapped sequence reads to the GreenGenes database [3,33,34]. We obtained sequence reads for each sample with no differences in read counts between the two storage conditions. To join the sequence reads and filter low-quality reads, DADA2 was used through QIIME2, which is a fast and accurate tool for metagenomic analyses [21,35,36]. We observed a difference in the number of paired-end reads, suggesting that different storage conditions could yield different microbial information.

The Shannon index is usually used to evaluate alpha diversity, accounting for abundance and evenness [37]. In this study, we found that evenness in frozen feces tended to higher than that in buffer-preserved feces. We conjectured that this difference reduced the gap in the Shannon index, despite the huge difference with respect to species. The opposite result was obtained by Menke et al., who revealed that alpha diversity is higher in frozen feces than buffer-preserved feces in sheep [38].

This difference may be explained by different characteristics between humans and sheep microbiota composition [39,40]. Variation in alpha diversity among individual donors is often observed and can be explained by diet, lifestyle, and genetic factors [41-43]. These characteristics supersedes the individual variation in alpha diversity. To compare microbial diversity between populations, we plotted individuals based on weighted UniFrac distances. Even though donors had a broad range of alpha diversity values, beta diversity showed that the microbial distance depended on storage methods. This result suggested that the storage method may influence the microbial composition in feces.

In the present study, we identified taxa to determine specific microbes that differed between frozen feces and buffer-preserved feces. Consistent with previous findings [44,45], we observed that Firmicutes was more abundant in frozen feces than in buffer-preserved feces, while Bacteroidetes was more abundant in buffer-preserved feces. Importantly, the amount of Bacteroidetes was low in frozen feces, even though Bacteroidetes are known to inhabit the gastrointestinal tract of humans [45,46]. This could be explained by the different type of cell walls between Firmicutes and Bacteroidetes. *Faecalibacterium* was more abundant in buffer-preserved feces than in frozen samples by up to 4-fold. This increase in *Faecalibacterium* was also observed in other studies using alternative buffers [6]. As Firmicutes increased, downstream genera and species also increased in frozen feces. *Lentisphaerae* and *Fusobacteria* are normally detected in feces at low abundances [47,48], but the relative abundance were zero in frozen feces and were low in buffer-preserved feces. These differences in some microbial taxa may give result in biases and unbalanced metagenomic analyses.

This study had some limitations. First, comparisons of the same fecal sample using different storage methods to evaluate intra-sample variation were not performed. However, we collected feces from donors within the same type (patients with lung cancer), providing homogenization and sufficiently superseding intra-individual comparisons. Second, only one type of preservation buffer was used for the comparative analysis. Comparisons among various preservation buffers should be a focus of further studies. In fact, many studies have compared various buffers [6,15,18,49], but the reproducibility of results should be established. Finally, further studies are needed to demonstrate inter-individual variation with respect to fecal storage conditions, using fecal samples collected from other populations to establish the generalizability of our findings.

## Declarations

### Ethics approval and consent to participate

All samples were obtained after informed consent for participate under written forms at the Samsung Medical



Center. This study was approved by Samsung Medical Center Institutional Ethics Committee with the US Ministry of Health and the Institutional Review Board (IRB) in accordance with the Declaration of Helsinki (2008-06-033).

### Consent for publication

All samples were obtained with informed consent for publication at the Samsung Medical Center.

### Data summary

The 16S rRNA sequencing data are available in European Nucleotide Archive repository, (Accession #: ERP116098). The datasets generated and analyzed during the current study are available from the corresponding author on reasonable request.

### Author contribution

G.K analyzed 16S rRNA sequences, made figures and wrote the manuscript. C.P wrote the manuscript. K.H.K analyzed 16S rRNA sequences. S.K edited the manuscript and figures. Y.Y edited the manuscript. S.E.L edited figures. Y.K edited manuscript. K.W.Y and H.P designed and supervised all experiments and analysis. All authors have read and approved the manuscript.

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### Authors notes

All supporting data and protocols have been provided within the article or through supplementary data files. †These authors contributed equally to this work.

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